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ARIZONA CORPORATION COMMISSION

AZ CORP COMMISSION
DOCUMENT CONTROL

NEW

MEMORANDUM

TO: Sally D'Antimo
Docket Control

FROM: Cheryl T. Farson
Securities Division

DOCKET NO. RS-00000A-00-0477

DATE: July 6, 2000

RE: Docket number for Rulemaking

Please issue a docket number for a proposed amendment to A.A.C. R14-4-121 ("rule 121"). Rule 121 limits activities of securities salesmen by prohibiting a salesman from selling securities for more than one dealer at a time.

The distribution list for this docket should include:

Mark Sendrow, Director Securities Division

Cheryl T. Farson, General Counsel Securities Division

Bob Zumoff, Assistant Attorney General

Michael McNerney, Esq.
VAA Purchaser Regulation Committee
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Thank you. If you need additional information, please do not hesitate to telephone me at 602 542-0193.

CARL J. KUNASEK
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